

# Alkaliphiles: Some Applications of Their Products for Biotechnology

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### DEFINITION OF ALKALIPHILES

There are no precise definitions of what characterizes an alkaliphilic or alkalitolerant organism. Several microorganisms exhibit more than one pH optimum for growth depending on the growth conditions, particularly nutrients, metal ions, and temperature. In this review, therefore, the term "alkaliphile" is used for microorganisms that grow optimally or very well at pH values above 9, often between 10 and 12, but cannot grow or grow only slowly at the near-neutral pH value of 6.5 (Fig. 1).

### HISTORY OF ALKALIPHILES

The discovery of alkaliphiles was fairly recent. Only 16 scientific papers on the topic could be found when I started experiments on alkaliphilic bacteria in 1968. The use of alkaliphilic microorganisms has a long history in Japan, since from ancient times indigo has been naturally reduced under alkaline conditions in the presence of sodium carbonate. Indigo from indigo leaves is reduced by particular bacteria that grow under these highly alkaline conditions in a traditional process called indigo fermentation. The most important factor in this process is the control of the pH value. Formerly, indigo reduction was controlled only by the skill of the craftsman. Microbiological studies of the process, however, were not conducted until the rediscovery of these alkaliphiles by me (69). Alkaliphiles remained little more than interesting biological curiosities, and at that time no further industrial application was attempted or even contemplated.

Since then, my colleagues and I have isolated a large number of alkaliphilic microorganisms and purified many alkaline enzymes. The first paper concerning an alkaline protease was published in 1971 (67). Over the past two decades, our studies have focused on the enzymology, physiology, ecology, taxonomy, molecular biology, and genetics of these isolates to establish a new microbiology of alkaliphilic microorganisms. Industrial applications of these microorganisms have also been investigated extensively, and some enzymes, such as alkaline proteases, alkaline amylases, and alkaline cellulases, have been put to use on an industrial scale. Alkaliphiles have clearly gained large amounts of genetic information by evolutionary processes and exhibit an ability in their genes to cope with particular environments; therefore their genes are a potentially valuable source of information waiting to be explored and exploited by the biotechnologists. Genes responsible for the alkaliphily of *Bacillus halodurans* C-125 and *Bacillus firmus* OF4 have been analyzed (49, 181). Recently, the complete genome of *B. halodurans* C-125 has been sequenced to obtain more information on industrial applications and on the molecular basis of alkaliphily. However, in this review, structural, physiological, and genetic aspects of alkaliphiles are not discussed; instead, the review focuses on studies of extracellular alkaline enzymes.

### DISTRIBUTION AND ISOLATION OF ALKALIPHILES

Alkaliphiles consist of two main physiological groups of microorganisms; alkaliphiles and haloalkaliphiles. Alkaliphiles require an alkaline pH of 9 or more for their growth and have an optimal growth pH of around 10, whereas haloalkaliphiles require both an alkaline pH (>pH 9) and high salinity (up to 33% [wt/vol] NaCl). Alkaliphiles have been isolated mainly from neutral environments, sometimes even from acidic soil samples and feces. Haloalkaliphiles have been mainly found in extremely alkaline saline environments, such as the Rift Valley lakes of East Africa and the western soda lakes of the United States.

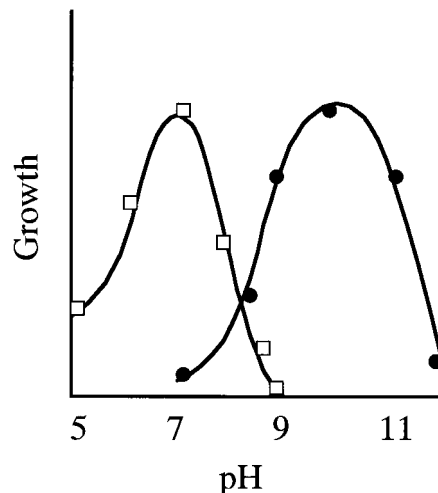


FIG. 1. pH dependency of alkaliphilic microorganisms. The typical pH dependency of the growth of neutrophilic and alkaliphilic bacteria is shown by open squares and solid circles, respectively.

### Alkaliphiles

**Aerobic alkaliphiles.** Alkaliphilic microorganisms coexist with neutrophilic microorganisms, as well as occupying specific extreme environments in nature. Figure 2 illustrates the relationship between the occurrence of alkaliphilic microorganisms and the pH of the sample origin. To isolate alkaliphiles, alkaline media must be used. Sodium carbonate is generally used to adjust the pH to around 10, because alkaliphiles usually require at least some sodium ions. Table 1 shows the makeup of an alkaline medium suitable for their isolation. The frequency of alkaliphilic microorganisms in neutral "ordinary"

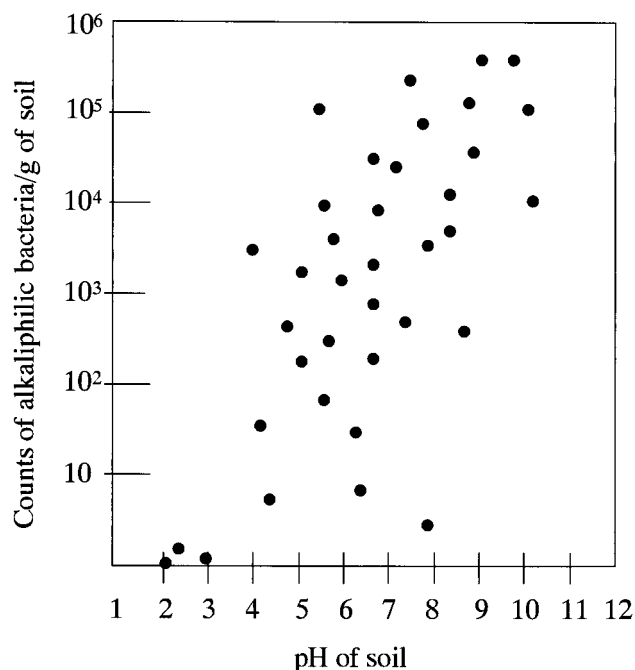


FIG. 2. Distribution of alkaliphilic microorganisms in environments at various pHs.

TABLE 1. Basal media for alkaliphilic microorganisms

Ingredient	Amt (g/liter) in:	
	Horikoshi-I	Horikoshi-II
Glucose	10	0
Soluble starch	0	10
Yeast extract	5	5
Polypeptone	5	5
K <sub>2</sub> HPO <sub>4</sub>	1	1
Mg <sub>2</sub> SO <sub>4</sub> · 7H <sub>2</sub> O	0.2	0.2
Na <sub>2</sub> CO <sub>3</sub>	10	10
Agar	20	20

soil samples is 10<sup>2</sup> to 10<sup>5</sup>/g of soil, which corresponds to 1/10 to 1/100 of the population of the neutrophilic microorganisms (65). Recent studies show that alkaliphilic bacteria have also been found in deep-sea sediments collected from depths up to the 10,898 m of the Mariana Trench (180). Many different kinds of alkaliphilic microorganisms, including bacteria belonging to the genera *Bacillus*, *Micrococcus*, *Pseudomonas*, and *Streptomyces* and eukaryotes such as yeasts and filamentous fungi, have been isolated from a variety of environments (30, 50, 65).

**Anaerobic alkaliphiles.** The first brief report on anaerobic alkaliphiles was communicated by Niimura et al. (144), although no taxonomic details were reported. Subsequently, many anaerobic spore-forming alkaliphiles were isolated by conventional procedures, and a few applications have been studied. Podkovyrov and Zeikus recorded the isolation and purification of a cyclomaltodextrin glucanotransferase from *Clostridium thermohydrosulfuricum* 39E (155). Wiegel and colleagues (32, 117) have isolated and characterized a range of thermophilic anaerobic alkaliphiles. Nine moderately alkalitolerant thermophilic bacteria with similar properties were isolated from water and soil samples obtained from Yellowstone National Park. Strain JW/YL-138T and eight similar strains represent a new genus and species, *Anaerobranca horikoshii*. At 60°C the pH range for growth is 6.9 to 10.3 and the optimum pH is 8.5. Cook et al. reported that *Clostridium paradoxum*, a novel alkali-thermophile, could maintain pH homeostasis inside the cell and thrive well in culture media in the pH range between 7.6 and 9.8 (23).

Kodama and Koyama (113) studied unique characteristics of anaerobic alkaliphiles belonging to *Amphibacillus xylanus*. The transport of leucine and glucose was remarkably stimulated by NH<sub>4</sub><sup>+</sup>. An (NH<sub>4</sub><sup>+</sup> + Na<sup>+</sup>)-activated ATPase of *A. xylanus* was also reported by Takeuchi et al. (183). Primary amines also activated the enzyme, but secondary and tertiary amines were ineffective (183).

Until recently, few microbiologists thought that alkaliphiles thrived in hydrothermal areas. Stetter's group (184) isolated *Thermococcus alcaliphilus* sp. nov., a new hyperthermophilic archaeon growing on polysulfide at alkaline pH and at temperatures between 56 and 90°C, from a shallow marine hydrothermal system at Vulcano Island, Italy. The pH range for growth was 6.5 to 10.5, with an optimum around 9.0. Polysulfide and elemental sulfur were reduced to H<sub>2</sub>S. Then, from a shallow beach (depth 1.0 m) situated at the base of the reef close to Porto di Levante at Vulcano Island, Italy, Dirmeier et al. isolated a new hyperthermophilic member of the archaea (29). The cells are coccoid and have up to five flagella. They grow between 56 and 93°C (optimum, 85°C) and at pH 5.0 to 9.5 (optimum, 9.0). The organism is strictly anaerobic and grows heterotrophically on defined amino acids and complex

organic substrates such as Casamino Acids, yeast extract, peptone, meat extract, tryptone, and casein. DNA-DNA hybridization and 16S rRNA partial sequences indicated that the new isolate belongs to the genus *Thermococcus* and represents a new species, *Thermococcus acidaminovorans*. An outstanding review on anaerobic alkalithermophiles has recently been published by Wiegel (198).

### Haloalkaliphiles

The most remarkable examples of naturally occurring alkaline environments are soda deserts and soda lakes. Extremely alkaline lakes, for example, Lake Magadi in Kenya and the Wadi Natrun in Egypt, are probably the most stable highly alkaline environments on Earth, with a consistent pH of 10.5 to 12.0 depending on the site. Many organisms isolated from alkaline and highly saline environments such as soda lakes also require high salinity, which is achieved by adding NaCl to the isolation medium. In particular, hypersaline soda lakes are populated by alkaliphilic representatives of halophilic archaea. These red-pigmented microbes reach numbers of 10<sup>7</sup> to 10<sup>8</sup>/ml in soda lake brines. A novel haloalkaliphilic archaeon was isolated from Lake Magadi (125). Cells of this organism contain large gas vacuoles in the stationary phase of growth, and colonies produced by these archaea are bright pink. The G+C content of the DNA is 62.7 mol%. The name *Natronobacterium vacuolata* sp. nov. is proposed. The type strain is designated NCIMB 13189. Lodwick et al. (121) determined the nucleotide sequence of the 23S and 5S rRNA genes from the haloalkaliphilic archaeon *Natronobacterium magadii*. A phylogenetic tree for the halobacteria was produced by alignment of the 23S rRNA sequence with those of other archaea.

Subsequently, Kamekura et al. (81) studied the diversity of alkaliphilic halobacteria on the basis of phylogenetic tree reconstructions, signature bases specific for individual genera, and sequences of spacer regions between 16S and 23S rRNA genes. They proposed the following changes: *Natronobacterium pharaonis* to be transferred to *Natronomonas* gen. nov. as *Natronomonas pharaonis* gen. nov. comb. nov.; *Natronobacterium vacuolatum* to be transferred to the genus *Halorubrum* as *Halorubrum vacuolatum* comb. nov.; and *Natronobacterium magadii* to be transferred to the genus *Natrialba* as *Natrialba magadii*.

Recently, Xu et al. (200) isolated two haloalkaliphilic archaea from a soda lake in Tibet. The two strains were gram negative, pleomorphic, flat, nonmotile, and strictly aerobic. Growth required at least 12% NaCl and occurred between pH 8.0 and 11 with an optimum at pH 9.0 to 9.5. On 16S rRNA phylogenetic trees, the two strains formed a monophyletic cluster. They differed from their closest neighbors, *Halobacterium trapanicum* and *Natrialba asiatica*, in polar lipid composition, as well as physiological and phenotypic characteristics. DNA-DNA hybridization indicated that the two strains belonged to different species of the same genus. The results indicated that the strains should be classified in a new genus, *Natronorubrum* gen. nov.

Recently, Kevbrin et al. (89) isolated an alkaliphilic, obligately anaerobic, fermentative, asporogenous bacterium with a gram-positive cell wall structure from soda deposits in Lake Magadi, Kenya. 16S rDNA sequence analysis of this bacterium showed that it belongs phylogenetically to cluster XI of the low-G+C gram-positive bacteria. On the basis of its distinct phylogenetic position and unique physiological properties, they proposed a new genus and new species, *Tindallia magadii*, for this strain.

A novel osmolyte, 2-sulfotrehalose, was discovered in sev-

eral *Natronobacterium* species of haloalkaliphilic archaea (26). The concentration of this novel disaccharide increased with increasing concentrations of external NaCl, behavior consistent with its identity as an osmolyte. Other common osmolytes (glycine, betaine, glutamate, and proline) were not accumulated or used for osmotic balance in place of the sulfotrehalose by these halophilic archaea. The ecology, physiology, and taxonomy of haloalkaliphiles have been recently reviewed by Jones et al. (80).

### Methanogens

Boone et al. (16) had originally isolated halophilic methanogens from various neutral salinas and natural hypersaline environments. These strains grew fastest at temperatures near 40°C and, in medium containing 0.5 to 2.5 M NaCl, at pH values near 7. Zhilina and Zavarzin (206) then described bacterial communities in alkaline lakes, and in particular the diversity of anaerobic bacteria developing at pH 10 was discussed. A new obligate alkaliphilic, methylotrophic methanogen was isolated from Lake Magadi. According to its phenotypic and genotypic properties, the isolate belonged to *Methanosalsus* (*Methanohalophilus*) *zhilinaeae* (88). It exhibited 91% homology to the type strain of this formerly monotypic species. The strain did not require Cl<sup>-</sup> but was obligately dependent on Na<sup>+</sup> and HCO<sub>3</sub><sup>-</sup>. It was an obligate alkaliphile and grew within a pH range of 8 to 10 (92).

### Cyanobacteria

Gerasimenko et al. (48) reported the discovery a wide diversity of alkaliphilic cyanobacteria (16 genera and 34 species were found). So far, no industrial application has been reported (11, 31, 128, 156, 199). Buck and Smith (19) reported an Na<sup>+</sup>/H<sup>+</sup> electrogenic antiporter in alkaliphilic *Synechocystis* sp. Singh (165) partially purified a urease from an alkaliphilic diazotrophic cyanobacterium, *Nostoc calcicola*. The purified enzyme showed optimum activity at pH 7.5 and 40°C. The enzyme was found to be sensitive to metal cations, particularly Hg<sup>2+</sup>, Ag<sup>+</sup>, and Cu<sup>2+</sup>. 4-Hydroxymercuribenzoate (a mercapto-group inhibitor) and acetohydroxamic acid (a chelating agent of nickel) inhibited the enzyme activity completely. These results suggest the involvement of an SH group and Ni<sup>2+</sup> in the activity of urease from *N. calcicola*.

### Other Alkaliphiles

Sorokin et al. have extensively studied sulfur-oxidizing alkaliphiles. The strains oxidize sulfide, elemental sulfur, and thio-sulfate to tetrathionate. Recently, sulfide dehydrogenase was isolated and purified from these alkaliphilic chemolithoautotrophic sulfur-oxidizing bacteria (166–169).

Zhilina et al. isolated and characterized *Desulfonatronovibrio hydrogenovorans* gen. nov., sp. nov., an alkaliphilic, sulfate-reducing bacterium, from Lake Magadi. Strain Z-7935(T) is an obligatory sodium-dependent alkaliphile, which grows in sodium carbonate medium and does not grow at pH 7; the maximum pH for growth is more than pH 10, and the optimum pH is 9.5 to 9.7. The optimum NaCl concentration for growth is only 3% (wt/vol) (206–208).

Several alkaliphilic spirochetes were also isolated from Lake Magadi and from Lake Khatyn, Central Asia, by Zhilina et al. Analysis of the genes encoding 16S rRNA indicated a possible fanning out of the phylogenetic tree of spirochetes (207).

Khmelenina et al. (91) isolated two strains of the halotolerant alkaliphilic obligate methanotrophic bacterium *Methyl-obacter alcaliphilus* sp. nov. from moderately saline soda lakes

TABLE 2. Intracellular pH values in alkaliphilic *Bacillus* strains at different external pH values

Microorganism	External pH	Internal pH
<i>B. alcalophilus</i>	8.0	8.0
	9.0	7.6
	10.0	8.6
	11.0	9.2
<i>B. firmus</i>	7.0	7.7
	9.0	8.0
	10.8	8.3
	11.2	8.9
	11.4	9.6
<i>Bacillus</i> strain YN-2000	7.5	8.5
	8.5	7.9
	9.5	8.1
	10.2	8.4
<i>B. halodurans</i> C-125 Intact cells	7.0	7.3
	7.5	7.4
	8.0	7.6
	8.5	7.8
	9.0	7.9
	9.5	8.1
	10.0	8.2
	10.5	8.4
Protoplasts	7.0	7.5
	8.0	7.9
	8.5	8.2
	9.0	8.4
	9.3	8.6

in Tuva (Central Asia). The strains grow fastest at pH 9.0 to 9.5 and much more slowly at pH 7.0. No growth occurred at pH less than or equal to 6.8. They require NaHCO<sub>3</sub> or NaCl for growth in alkaline medium.

## SPECIAL PHYSIOLOGICAL FEATURES OF ALKALIPHILES

### Internal pH

Most alkaliphiles have an optimal growth pH at around 10, which is the most significant difference from well-investigated neutrophilic microorganisms. Therefore, the question arises how these alkaliphilic microorganisms can grow in such an extreme environment. Is there any difference in physiological and structural aspects between alkaliphilic and neutrophilic microorganisms? Internal cytoplasmic pH can be estimated from the optimal pH of intracellular enzymes. For example, α-galactosidase from an alkaliphile, *Micrococcus* sp. strain 31-2, had its optimal catalytic pH at 7.5, suggesting that the internal pH is around neutral. Furthermore, the cell-free protein synthesis systems from alkaliphiles optimally incorporate amino acids into protein at pH 8.2 to 8.5, only 0.5 pH unit higher than that of the neutrophilic *Bacillus subtilis* (69).

Another method to estimate internal pH is to measure in cells the inside and outside distribution of weak bases, which are not actively transported by cells. The internal pH was maintained at around 8, despite a high external pH of 8 to 11, as shown in Table 2 (5, 51, 65, 171). Therefore, one of the key features in alkaliphily is associated with the cell surface, which discriminates and maintains the intracellular neutral

environment separate from the extracellular alkaline environment.

### Cell Walls

**Acidic polymers.** Since the protoplasts of alkaliphilic *Bacillus* strains lose their stability in alkaline environments, it has been suggested that the cell wall may play a key role in protecting the cell from alkaline environments. Components of the cell walls of several alkaliphilic *Bacillus* spp. have been investigated in comparison with those of the neutrophilic *B. subtilis*. In addition to peptidoglycan, alkaliphilic *Bacillus* spp. contain certain acidic polymers, such as galacturonic acid, gluconic acid, glutamic acid, aspartic acid, and phosphoric acid (4). The negative charges on the acidic nonpeptidoglycan components may give the cell surface its ability to adsorb sodium and hydronium ions and repulse hydroxide ions and, as a consequence, may assist cells to grow in alkaline environments.

**Peptidoglycan.** The peptidoglycans of alkaliphilic *Bacillus* spp. appear to be similar to that of *B. subtilis*. However, their composition was characterized by an excess of hexosamines and amino acids in the cell walls compared to that of the neutrophilic *B. subtilis*. Glucosamine, muramic acid, D- and L-alanine, D-glutamic acid, meso-diaminopimelic acid, and acetic acid were found in hydrolysates. Although some variation in the amide content among the peptidoglycans from alkaliphilic *Bacillus* strains was found, the variation in pattern was similar to that known in neutrophilic *Bacillus* species.

### Na<sup>+</sup> Ions and Membrane Transport

Alkaliphilic microorganisms grow vigorously at pH 9 to 11 and require Na<sup>+</sup> for growth (116). The presence of sodium ions in the surrounding environment has proved to be essential for effective solute transport through the membranes of alkaliphilic *Bacillus* spp. According to the chemiosmotic theory, the proton motive force in the cells is generated by the electron transport chain or by excreted H<sup>+</sup> derived from ATP metabolism by ATPase. H<sup>+</sup> is then reincorporated into the cells with cotransport of various substrates. In Na<sup>+</sup>-dependent transport systems, the H<sup>+</sup> is exchanged with Na<sup>+</sup> by Na<sup>+</sup>/H<sup>+</sup> antiporter systems, thus generating a sodium motive force, which drives substrates accompanied by Na<sup>+</sup> into the cells. The incorporation of a test substrate,  $\alpha$ -aminoisobutyrate, increased twofold as the external pH shifted from 7 to 9, and the presence of sodium ions significantly enhanced the incorporation; 0.2 N NaCl produced an optimum incorporation rate that was 20 times the rate observed in the absence of NaCl. Other cations, including K<sup>+</sup>, Li<sup>+</sup>, NH<sub>4</sub><sup>+</sup>, Cs<sup>+</sup>, and Rb<sup>+</sup>, showed no effect, nor did their counteranions (108).

### Mechanisms of Cytoplasmic pH Regulation

The cells have two barriers to reduce pH values from 10.5 to 8 (Fig. 3).

Cell walls containing acidic polymers function as a negatively charged matrix and may reduce the pH value at the cell surface. The surface of the plasma membrane must presumably be kept below pH 9, because the plasma membrane is very unstable at alkaline pH values (pH 8.5 to 9.0) much below the pH optimum for growth.

Plasma membranes may also maintain pH homeostasis by using the Na<sup>+</sup>/H<sup>+</sup> antiporter system ( $\Delta\psi$  dependent and  $\Delta\text{pH}$  dependent), the K<sup>+</sup>/H<sup>+</sup> antiporter, and ATPase-driven H<sup>+</sup> expulsion. Recent studies on the critical antiporters in several laboratories have begun to clarify the number and character-

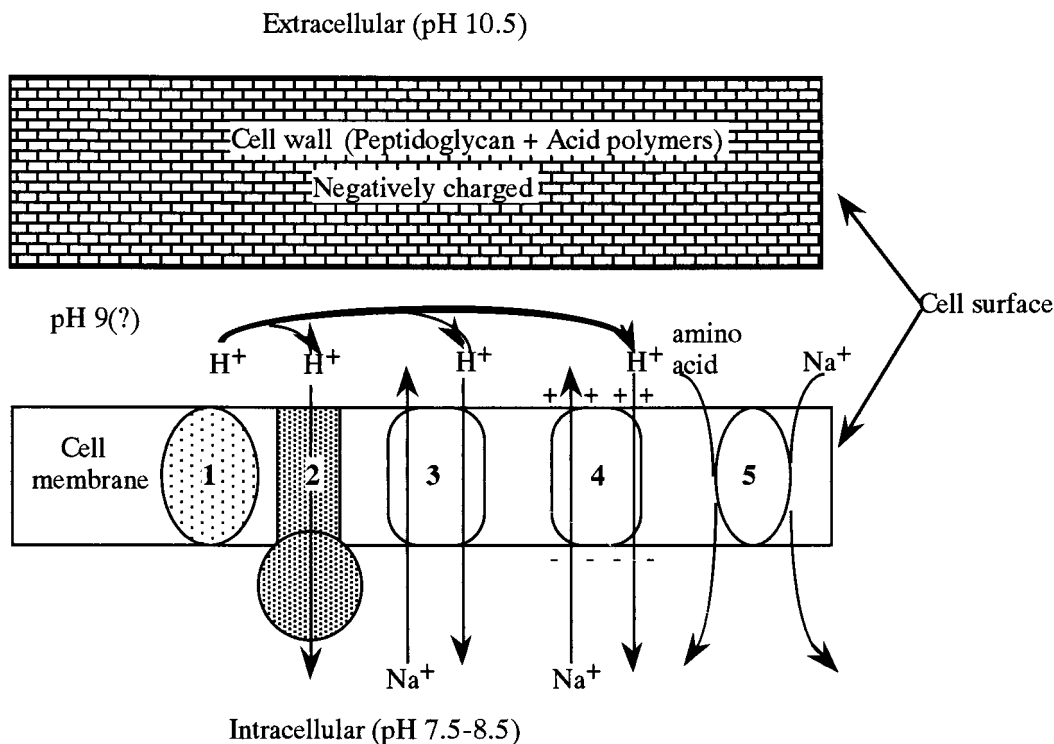
istics of the porters that support active mechanisms of pH homeostasis (53, 76, 109, 114, 130).

My group isolated a nonalkaliphilic mutant strain (mutant 38154) from *B. halodurans* C-125 as the host for cloning genes related to alkaliphily. A 3.7-kb parental DNA fragment (pALK fragment) from the parental strain restored the growth of mutant 38154 at alkaline pH. The transformant was able to maintain an intracellular pH that was lower than the external pH and contained an electrogenic Na<sup>+</sup>/H<sup>+</sup> antiporter driven only by  $\Delta\psi$  (membrane potential, interior negative). Membrane vesicles prepared from mutant 38154 did not show membrane potential  $\Delta\psi$ -driven Na<sup>+</sup>/H<sup>+</sup> antiporter activity. These results indicate that mutant 38154 affects, either directly or indirectly, electrogenic Na<sup>+</sup>/H<sup>+</sup> antiporter activity. This was the first report of a DNA fragment responsible for a Na<sup>+</sup>/H<sup>+</sup> antiporter system in the mechanism of alkaliphily (53, 56, 107, 115, 162).

Krulwich et al. (49, 51, 76, 114, 171, 172) have focused their studies on the facultative alkaliphile *Bacillus firmus* OF4, which is routinely grown on malate-containing medium at either pH 7.5 or 10.5. Current work is directed toward clarification of the characteristics and energetics of membrane-associated proteins that must catalyze inward proton movements. One such protein is the Na<sup>+</sup>/H<sup>+</sup> antiporter, which enables cells to adapt to a sudden upward shift in pH and to maintain a cytoplasmic pH that is 2 to 2.3 units below the external pH in the most alkaline range of pH for growth. Another is the proton-translocating ATP synthase that catalyzes production of ATP under conditions in which the external proton concentration and the bulk chemiosmotic driving force are low. Three gene loci that are candidates for Na<sup>+</sup>/H<sup>+</sup> antiporter, carrying genes with roles in Na<sup>+</sup>-dependent pH homeostasis, have been identified. All of them have homologs in *B. subtilis*, in which pH homeostasis can be carried out with either K<sup>+</sup> or Na<sup>+</sup>. The physiological importance of one of the *B. firmus* OF4 loci, *nhaC*, has been studied by targeted gene disruption, and the same approach is being extended to the others. The *atp* genes, which encode the F<sub>1</sub>F<sub>0</sub>-ATP synthase of the alkaliphile have interesting motifs in areas of putative importance for proton translocation. As an initial step in studies that will probe the importance and possible role of these motifs, the entire *atp* operon from *B. firmus* OF4 has been cloned and functionally expressed in an *Escherichia coli* mutant with its *atp* genes completely deleted. The transformant does not exhibit growth on succinate but shows reproducible, modest increases in the aerobic growth yields on glucose as well as membrane ATPase activity that exhibits characteristics of the alkaliphile enzyme (114). As a result of these mechanisms, the membrane proteins play a key role in keeping the intracellular pH values in the range between 7.0 and 8.5.

### PHYSICAL MAPS OF CHROMOSOMAL DNAs OF ALKALIPHILIC *BACILLUS* STRAINS

How alkaliphiles adapt to their alkaline environments is one of the most interesting and challenging topics that might be clarified by genome analysis. Two physical maps for alkaliphilic *Bacillus* strains have been published: those for *B. firmus* OF4 and *B. halodurans* C-125. A physical map of *B. firmus* OF4 is consistent with a circular chromosome of approximately 4 Mb, with an extrachromosomal element of 110 kb (49, 172). Although analysis is still in progress, several open reading frames for Na<sup>+</sup>/H<sup>+</sup> antiporters that may play roles in pH homeostasis have been detected. A physical map of the chromosome of *B. halodurans* C-125 has been constructed to facilitate whole-genome analysis (181, 182). In this strain, the genome size is



1: Respiratory chain; 2: FoF1-ATPase

3:  $\Delta\text{pH}$  dependent  $\text{Na}^+$  /  $\text{H}^+$  antiporter

4:  $\Delta\psi$  dependent  $\text{Na}^+$  /  $\text{H}^+$  antiporter

5: Amino acids/ $\text{Na}^+$  symporter

FIG. 3. Schematic representation of cytoplasmic pH regulation.

4.25 Mb. Many open reading frames showing significant similarities to those of *B. subtilis* have been positioned on the physical map. As shown in Fig. 4, the *oriC* regions of the C-125 chromosome have been identified by Southern blot analysis with a DNA probe containing the *gyrB* region (181, 182).

Whole-genome analysis will inevitably give us useful information about adaptation to alkaline environments.

### ALKALINE ENZYMES

Studies of alkaliphiles have led to the discovery of many types of enzymes that exhibit interesting properties. The first report concerning an alkaline enzyme, published in 1971, described an alkaline protease produced by *Bacillus* sp. strain 221. More than 35 new enzymes have been isolated and purified in my laboratory. Some of these have been produced on an industrial scale.

#### Alkaline Proteases

In 1971, Horikoshi (66) reported the production of an extracellular alkaline serine protease from alkaliphilic *Bacillus* sp. strain 221. This strain, isolated from soil, produced large amounts of alkaline protease that differed from the subtilisin group. The optimum pH of the purified enzyme was 11.5, and 75% of the activity was maintained at pH 13.0. The enzyme was completely inhibited by diisopropylfluorophosphate or 6 M

urea but not by EDTA or *p*-chloromercuribenzoate. The molecular weight of the enzyme was 30,000, which is slightly higher than those of other alkaline proteases. The addition of a 5 mM solution of calcium ions was reflected in a 70% increase in activity at the optimum temperature (60°C). Subsequently, two *Bacillus* strains, AB42 and PB12, were reported which also produced an alkaline protease (9). These strains exhibited a broad pH range (pH 9.0 to 12.0), with a temperature optimum of 60°C for AB42 and 50°C for PB12. Since these reports, many alkaline proteases have been isolated from alkaliphilic microorganisms (35, 146, 187–190). Fujiwara et al. (34) purified a thermostable alkaline protease from a thermophilic alkaliphile, *Bacillus* sp. strain B18. The optimum pH and temperature for the hydrolysis of casein were pH 12 to 13 and 85°C, both of which are higher than those for alkaline proteases. Han and Damodaran (55) reported the purification and characterization of an extracellular endopeptidase from a strain of *Bacillus pumilus* displaying high stability in 10% (wt/vol) sodium dodecyl sulfate and 8 M urea. Some of the enzymes are now commercially available as detergent additives.

Takami et al. (179) isolated a new alkaline protease from alkaliphilic *Bacillus* sp. strain AH-101. The enzyme was most active toward casein at pH 12 to 13 and stable for 10 min at 60°C and pH 5 to 13. The optimum temperature was about 80°C in the presence of 5 mM calcium ions. The alkaline protease showed a higher hydrolyzing activity against insoluble

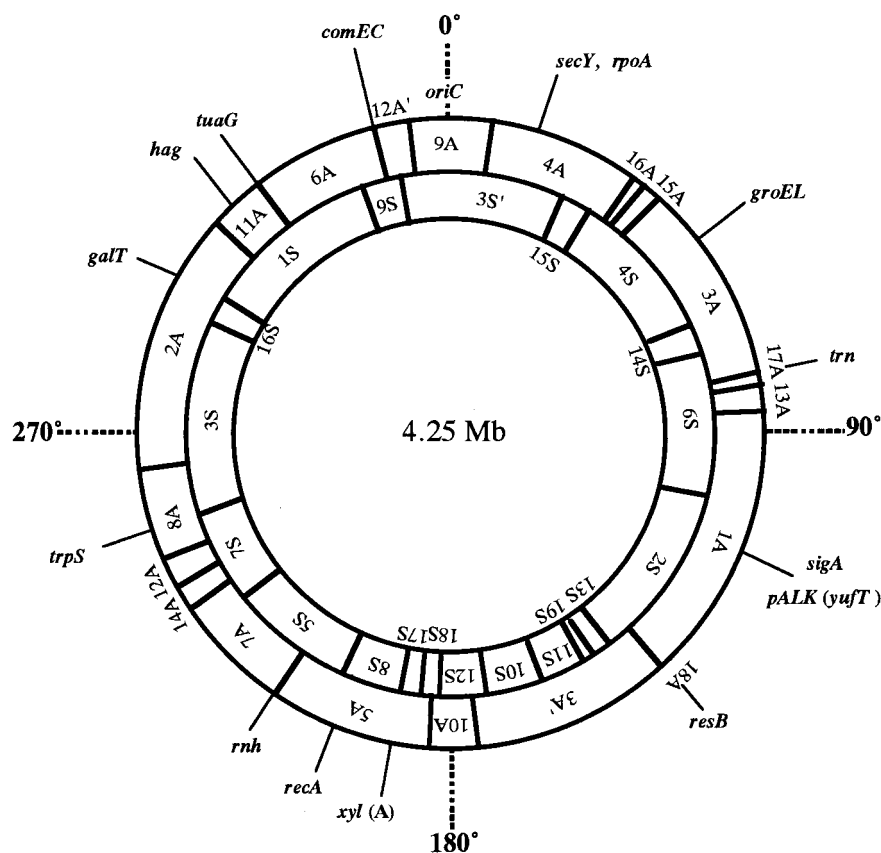


FIG. 4. Physical and genetic map of the chromosome of *B. halodurans* C-125. The outer and inner circles show the *Ascl* and *Sse83871* physical maps, respectively. The locations of several genes are indicated on the maps. Dashed lines indicate the approximate positions of the genes.

fibrous natural proteins such as elastin and keratin than did subtilisins and proteinase K (177, 178). Cheng et al. (21) reported a keratinase of a feather-degrading *Bacillus licheniformis* strain, PWD-1. This enzyme was stable from pH 5 to 12. The optimal reaction pHs for feather powder and casein were 8.5 and 10.5 to 11.5, respectively. Zaghloul et al. also reported the isolation, identification, and keratinolytic activity of several feather-degrading bacteria isolated from Egyptian soil. These isolates could degrade chicken feathers (205).

**Structural analysis.** Schmidt et al. analyzed a series of serine protease genes of alkaliphilic *Bacillus* sp. strain LG12. Four tandem subtilisin-like protease genes were found on a 6,854-bp DNA fragment. The two downstream genes (*sprC* and *sprD*) appear to be transcribed independently, while the two upstream genes (*sprA* and *sprB*) seem to be part of the same transcript (161). Yeh et al. improved the translational efficiency of an alkaline protease YaB gene with different initiation codons in *B. subtilis* and alkaliphilic *Bacillus* YaB (201). Martin et al. found that the solution structure of serine protease PB92 from *B. alcalophilus* presents a well-defined global fold with a flexible structure-binding site. The well-defined global fold was rigid with the exception of a restricted number of sites (123).

Kobayashi et al. isolated and purified an alkaline protease from alkaliphilic *Bacillus* sp. strain KSM-K16 that was suitable for use in detergents (110). Shirai et al. studied the crystal structure of the M protease of alkaliphilic *Bacillus* sp. strain KSM-K16 by X-ray analysis to understand the alkaline adaptation mechanism of the enzyme (164). This analysis revealed

a decrease in the number of negatively charged amino acids (aspartic acid and glutamic acid) and lysine residues, and an increase in arginine and neutral hydrophilic amino acids (histidine, asparagine, and glutamine) residues during the course of adaptation.

**Other proteases.** Several alkaline proteases produced by alkaliphilic actinomycetes have been reported. Tsuchiya et al. (191–193) isolated thermostable alkaline protease from alkaliphilic *Thermoactinomyces* sp. strain HS682. The strain grew in alkaline media between pH 7.5 and 11.5. Maltose gave the highest productivity of protease at a concentration of 10 g/liter. The protease had its maximum proteolytic activity around pH 11.0 and at 70°C. In the presence of  $\text{Ca}^{2+}$  ions, maximum activity was observed at 80°C. An intracellular alkaline serine protease gene of alkaliphilic *Thermoactinomyces* sp. strain HS682 was cloned and expressed in *E. coli*. Yum et al. (204) purified an extracellular alkaline serine protease produced by *Streptomyces* sp. YSA-130. The optimum temperature and pH for the enzyme activity were 60°C and 11.5, respectively. The enzyme was stable at 50°C and between pH 4 and 12.

A serine protease from the keratin-degrading *Streptomyces pactum* DSM 40530 was purified by casein agarose affinity chromatography by Bockle et al. (15). The proteinase was optimally active in the pH range from 7 to 10 and at temperatures from 40 to 75°C. After incubation with the purified proteinase, rapid disintegration of whole feathers was observed.

Extracellular proteolytic activity was also detected in the haloalkaliphilic archaeon *Natronococcus occultus* as the culture

reached the stationary growth phase (170). Proteolytic activity was precipitated with ethanol and subjected to preliminary characterization. Optimal conditions for activity were attained at 60°C and 1 to 2 M NaCl or KCl. Gelatin zymography in the presence of 4 M betaine revealed a complex pattern of active species with apparent molecular masses ranging from 50 to 120 kDa.

**Industrial applications. (i) Detergent additives.** The main industrial application of alkaliphilic enzymes is in the detergent industry, and detergent enzymes account for approximately 30% of total worldwide enzyme production. Not all of these are produced by alkaliphilic bacteria. However, many alkaline proteases have been produced by alkaliphilic *Bacillus* strains and are commercially available.

**(ii) Dehairing.** Alkaline enzymes have been used in the hide-dehairing process, where dehairing is carried out at pH values between 8 and 10. These enzymes are commercially available.

**(iii) Other applications.** An interesting application of alkaline protease was developed by Fujiwara and coworkers (35, 36, 75). They reported the use of an alkaline protease to decompose the gelatinous coating of X-ray films, from which silver was recovered. Protease B18' had a higher optimum pH and temperature, around 13.0 and 85°C. The enzyme was most active toward gelatin on film at pH 10.

### Starch-Degrading Enzymes

The first alkaline amylase was produced in Horikoshi-II medium by cultivating alkaliphilic *Bacillus* sp. strain A-40-2 (67). Several types of alkaline starch-degrading enzymes were observed. No alkaline amylases produced by neutrophilic microorganisms have so far been reported. Recent studies revealed that the starch-degrading enzymes  $\alpha$ -amylase and cyclomaltodextrin glycosyltransferase (CGTase) are functionally and structurally closely related.  $\alpha$ -Amylases have three molecular domains (called A, B, and C), and CGTases contain two additional domains (called D and E).

**$\alpha$ -Amylases of alkaliphilic *Bacillus* strains.** The alkaline amylase was first produced in alkaliphilic *Bacillus* sp. strain A-40-2 (ATCC 21592), which was selected from about 300 colonies of bacteria grown in Horikoshi-II medium (67). The enzyme is most active at pH 10.0 to 10.5 and retains 50% of its activity between pH 9.0 and 11.5. The enzyme is not inhibited by 10 mM EDTA at 30°C but is completely inactivated by 8 M urea. The enzyme can hydrolyze 70% of starch to yield glucose, maltose, and maltotriose, and it is a type of saccharifying  $\alpha$ -amylase. Boyer and Ingle reported an alkaline amylase in strain NRRL B-3881, which was the second report of an alkaline amylase (17, 18). The B-3881 amylase has its optimum pH for enzyme action at 9.2. It yields maltose, maltotriose, and a small amount of glucose and maltotetraose, all of which have a  $\beta$ -configuration. Considerable diversity of  $\alpha$ -amylases has been reported. Kim et al. reported that the alkaliphilic *Bacillus* sp. strain GM8901 produced five alkaline amylases in a culture broth (97). McTigue et al. studied the alkaline amylases of three alkaliphilic *Bacillus* strains (126, 127). *Bacillus halodurans* A-59 (ATCC 21591), *Bacillus* sp. strain NCIB 11203, and *Bacillus* sp. strain IMD370 produced alkaline  $\alpha$ -amylases with maxima for activity at pH 10.0. Kelly et al. (86) found that the alkaline amylase of *Bacillus* sp. strain IMD370 could hydrolyze raw starch. The enzyme digested raw cornstarch to glucose, maltose, maltotriose, and maltotetraose. The maximum pH for raw starch hydrolysis was pH 8.0, compared to pH 10.0 for soluble starch hydrolysis. It is of interest that degradation of raw starch was stimulated sixfold in the presence of

$\beta$ -cyclodextrin. Lin et al. have recently reported the production and properties of a raw-starch-degrading amylase from the thermophilic and alkaliphilic *Bacillus* sp. strain TS-23 (119). Activity staining revealed that two amylases with molecular masses of 150 and 42 kDa were produced. The 42-kDa amylase hydrolyzed only raw starch. Igarashi et al. isolated a novel liquefying  $\alpha$ -amylase (LAMY) from cultures of an alkaliphilic *Bacillus* isolate, strain KSM-1378 (73). The enzyme had a pH optimum of 8.0 to 8.5 and displayed maximum activity at 55°C. The structural gene for the amylase contained a single open reading frame 1,548 bp in length, encoding to 516 amino acids that included a signal peptide of 31 amino acids. The four conserved regions were found in the deduced amino acid sequence. Essentially, the sequence of LAMY was consistent with the tertiary structures of reported amylolytic enzymes, which are composed of domains A, B, and C. Furthermore, Igarashi et al. improved the thermostability of the amylase by deleting an arginine-glycine residue in that molecule (74).

**Other  $\alpha$ -amylases.** Kimura and Horikoshi isolated a number of starch-degrading psychrotrophic microorganisms from the environment (102, 104, 106). Recently, a gene coding for a new amylolytic enzyme from *Pseudomonas* sp. strain KFCC 10818 was cloned, and its nucleotide sequence was determined (131). A deduced amino acid sequence contained four highly conserved regions of  $\alpha$ -amylases. The haloalkaliphilic *Natronococcus* sp. strain Ah-36 produced an extracellular maltotriose-forming amylase (112). The amylase exhibited maximal activity at pH 8.7 and 55°C in the presence of 2.5 M NaCl. Kobayashi et al. have cloned this  $\alpha$ -amylase and expressed it in *Haloferax volcanii* (111).

**Cyclomaltodextrin glucanotransferases.** Nakamura and Horikoshi discovered many alkaliphilic *Bacillus* strains producing CGTases. The crude enzyme of *Bacillus* sp. strain 38-2 was a mixture of three enzymes: acidic CGTase, having an optimum pH for enzyme action at 4.6, neutral CGTase, having an optimal pH at 7.0, and alkaline CGTase, having an optimal pH at 8.5 (135–138). In 1975, Matsuzawa et al. established the industrial production of cyclodextrin (CD) by using crude CGTase of *Bacillus* sp. strain 38-2 (124). Since then, many alkaliphilic microorganisms producing CGTases have been reported (145, 147). Georganta et al. isolated CGTase-producing psychrophilic alkaliphilic bacteria from samples of deep-sea bottom mud (47). Isolate 3-22 grew at 4°C and showed activity at broad temperature ranges and from pH 5 to 9. The CGTase produced predominantly  $\beta$ -CD, with minor amounts of  $\alpha$ - and  $\gamma$ -CDs. The formation of various CDs was observed after accumulation of maltooligosaccharides with degrees of polymerization more than seven (1). Salva et al. isolated 68 CGTase-producing alkaliphilic bacteria from among 400 soil bacteria (157). The enzyme of isolate 76 was partially purified by starch adsorption, and some of its properties were investigated. These properties seem to be quite similar to those of the *Bacillus* sp. strain 38-2 enzyme (135). Chung et al. isolated a thermostable CGTase from *Bacillus stearothermophilus* ET1 (22). The optimum pH for the enzyme-catalyzed reaction was pH 6.0, and the optimum temperature was observed at 80°C. A 13% (wt/vol) cornstarch solution was liquefied and converted to CDs solely by using this enzyme. The cornstarch conversion rate was 44%, and  $\alpha$ -,  $\beta$ -, and  $\gamma$ -CDs were produced in the ratio of 4.2:5.9:1. Terada et al. studied the initial reaction of CGTase from the alkaliphilic *Bacillus* sp. strain A2-5a on amylose (186). Cyclic  $\alpha$ -1,4-glucans with degrees of polymerization ranging from 9 to more than 60, in addition to  $\alpha$ -,  $\beta$ -, and  $\gamma$ -CD, were detected at early stages of enzymatic reactions. Subsequently, large cyclic  $\alpha$ -1,4-glucans were converted into smaller cyclic  $\alpha$ -1,4-glucans. The final major product was  $\beta$ -CD. From the

industrial point of view,  $\gamma$ -CD is of practical interest because it is rare and can encapsulate larger compound molecules. Recently, Parsiegla et al. reported that mutation of *Bacillus circulans* 8 was effective in increasing  $\gamma$ -CD production (154). Yamane's group has extensively investigated the molecular structure of the CGTase of alkaliphilic *Bacillus* sp. strain 1011 (91–101, 113, 133, 134). Kimura et al. isolated the enzyme, purified and cloned its gene (99, 100). The enzyme, consisting of 686 amino acid residues, was crystallized and subjected to X-ray analysis. The molecule consists of five domains, designated A to E, and its backbone structure is similar to the structure of other bacterial CGTases. The molecule has two calcium-binding sites where calcium ions are coordinated by seven ligands, forming a distorted pentagonal bipyramid. Three histidine residues in the active center of CGTase participate in the stabilization of the transition state. His-327 is especially important for catalysis over the alkaline pH range (133). Analysis of the three-dimensional structures of CGTases has revealed that four aromatic residues, which are highly conserved among CGTases but not in  $\alpha$ -amylases, are located in the active center (134).

**Industrial production of cyclodextrins.** In 1969, Corn Products International Co. in the United States began producing  $\beta$ -CD by using *B. macerans* CGTase. Teijin Ltd. in Japan also produced  $\beta$ -CD by using the *B. macerans* enzyme in a pilot plant. However, there were two serious problems in both production processes: (i) the yield of CD from starch was not high, and (ii) Toxic organic solvents such as trichloroethylene, bromobenzene, and toluene were used to precipitate CD owing to the low conversion rate. The use of CGTase of alkaliphilic *Bacillus* sp. strain 38-2 overcame all these weak points and led to the mass production of crystalline  $\alpha$ -,  $\beta$ -,  $\gamma$ -CD at low cost without using any organic solvents. The yield of CD was 85 to 90% from amylose and 70 to 80% from potato starch on a laboratory scale. Owing to the high conversion rate, CDs could be directly crystallized from the hydrolysate of starch without the addition of organic solvents (124). These simple methods reduced the cost of  $\beta$ -CD from \$1,000/kg to \$5/kg and that of a  $\alpha$ -CD to within \$15/kg. This has paved the way for its use in large quantities in foodstuffs, chemicals, and pharmaceuticals. Several other processes to produce CDs have been developed since the original report by Matsuzawa et al. (124). Kim et al. reported an enzymatic production of CDs from milled corn starch in an ultrafiltration membrane bioreactor to reduce product inhibition (96). In a batch operation with ultrafiltration, the conversion yield was increased 57% compared with that without ultrafiltration. Okada et al. also developed a bioreactor system with the enzyme immobilized on a capillary membrane (148). The percentage of CDs to total sugar obtained was slightly more than 60% under most operating conditions. Abraham immobilized CGTase for the continuous production of CDs (2). The immobilized enzyme had a pH optimum shifted to the alkaline side (from 6.5 to 7.5) and had a reduced temperature optimum (from 60°C to 50–55°C). Lima et al. developed a unique CD production process (118). A 10% (wt/vol) solution of cassava starch, liquefied with  $\alpha$ -amylase, was incubated with CGTase by using only the enzyme and added ethanol (from 1 to 5%), followed by the addition of the yeast *Saccharomyces cerevisiae* (12% [wt/vol]) plus nutrients. However, the production costs were not reported.

**Pullulanases.** In 1976, Nakamura et al. discovered an alkaline pullulanase of *Bacillus* sp. strain 202-1 (139). The enzyme has a pH optimum for enzyme action at pH 8.5 to 9.0 and is stable for 24 h at pH 6.5 to 11.0 at 4°C. The enzyme is most active at 55°C and is stable up to 50°C for 15 min in the absence of substrate. Kelly et al. found that alkaliphilic *B. halodurans*

A-59 (ATCC 21591) produced three enzymes,  $\alpha$ -amylase, pullulanase, and  $\alpha$ -glucosidase, in culture broth (87). These three enzymes were separately produced, and the levels of  $\alpha$ -glucosidase and pullulanase reached their maxima after 24 h of cultivation at the initial pH 9.7. Although this pullulanase was not purified, the indicated pH optimum was at 7.0.

Two highly alkaliphilic pullulanase-producing bacteria were isolated from Korean soil (94, 95). The two isolates were extremely alkaliphilic, since bacterial growth and enzyme production occurred at pH values ranging from pH 6.0 to 12.0 for *Micrococcus* sp. strain Y-1 and pH 6.0 to 10.0 for *Bacillus* sp. strain S-1. The enzyme displayed a temperature optimum of around 60°C and a pH optimum of around pH 9.0. The extracellular enzymes of both bacteria were alkaliphilic and moderately thermoactive; optimal activity was detected at pH 8.0 to 10.0 and between 50 and 60°C.

In screening alkaline cellulases from detergent additives, Ara and coworkers isolated a novel alkaline pullulanase from alkaliphilic *Bacillus* sp. strain KSM-1876, which was identified as a relative of *Bacillus circulans* (8, 72). The enzyme had an optimum pH for enzyme action of around 10.0 to 10.5. This enzyme is a good candidate for use as an additive to dishwashing detergents. Ito's group then found another alkaline amylopullulanase from alkaliphilic *Bacillus* sp. strain KSM-1378 (7). This enzyme efficiently hydrolyzed the  $\alpha$ -1,4 and  $\alpha$ -1,6 linkages of amylose, amylopectin, and glycogen at alkaline pH values. The kinetic studies revealed two independent active sites for the  $\alpha$ -1,4 and  $\alpha$ -1,6 hydrolytic reactions. Incubation of the enzyme at 40°C and pH 9.0 caused complete inactivation of the amylase activity within 4 days, but the pullulanase activity remained at the original level under the same conditions (7). This alkaline amylopullulanase can therefore be considered to be a "two-headed" enzyme molecule. Limited proteolysis with papain also revealed that the  $\alpha$ -1,6- and  $\alpha$ -1,4 hydrolytic activities were associated with two different active sites (6). Furthermore, analysis of the amino acid sequence and molecular structure of the enzyme showed two different active sites (57). The enzyme was observed by transmission electron microscopy; it appeared to be a bent dumbbell-like molecule with a diameter of approximately 25 nm.

Takagi et al. reported diversity in the size and alkaliphily of thermostable  $\alpha$ -amylase-pullulanases produced by recombinant *Escherichia coli*, *B. subtilis* and the wild-type *Bacillus* sp. strain XAL601 (176). It was revealed that the noncatalytic C-terminal region may be responsible for the high optimum pH of the enzyme activity. These observations were also reported for CGTase (82, 83) and alkaline carboxymethyl cellulase (CMCase) (132).

Lin et al. purified a thermostable pullulanase from the thermophilic alkaliphilic *Bacillus* sp. strain TS-23 (120). This purified enzyme had both pullulanase and amylase activities. The temperature and pH optima for both pullulanase and amylase activities were 70°C and pH 8 to 9, respectively. The enzyme remained more than 96% active at temperatures below 65°C, and both activities were retained at temperatures up to 90°C in the presence of 5% sodium dodecyl sulfate (120).

In some food industries, enzymes showing activity at lower temperatures have been requested for use in food processing. Psychrotrophic bacteria are thought to be potential producers of these enzymes. Kimura and Horikoshi (103, 105) reported that an alkalipsychrotrophic strain, *Micrococcus* sp. strain 207, extracellularly produced amylase and pullulanase. The pullulanase was purified to an electrophoretically homogeneous state by conventional methods. The purified enzyme was free of  $\alpha$ -amylase activity. It had a pH optimum at 7.5 to 8.0 and was relatively thermostable (up to 45°C). The enzyme could

hydrolyze the  $\alpha$ -1,6-linkages of amylopectins, glycogens, and pullulan. Although many alkaline pullulanases have been reported as described above, no industrial application has been developed yet.

### Cellulases

**Alkaline cellulases of alkaliphilic *Bacillus* strains.** Commercially available cellulases display optimum activity over a pH range from 4 to 6. No enzyme with an alkaline optimum pH for activity (pH 10 or higher) had been reported before the rediscovery of alkaliphiles. Horikoshi and coworkers found bacterial isolates (*Bacillus* sp. strains N4 and 1139) producing extracellular alkaline CMCase (37, 71). One of these, alkaliphilic *Bacillus* sp. strain N-4 (ATCC 21833), produced multiple CMCases that were active over a broad pH range (pH 5 to 10). Sashihara et al. cloned the cellulase genes of *Bacillus* sp. strain N-4 in *E. coli* HB101. Several cellulase-producing clones with different DNA sequences were obtained (159). Another bacterium, *Bacillus* sp. strain 1139, produced one CMCase, which was purified and shown to have optimum pH for activity at pH 9.0. The enzyme was stable over the pH range from 6 to 11 (for 24 h at 4°C and 10 min at up to 40°C). The CMCase gene of *Bacillus* sp. strain 1139 was also cloned in *E. coli* (38–42). Beppu's group (132, 153) constructed many chimeric cellulases from *B. subtilis* and *Bacillus* sp. strain N-4 enzyme genes in an effort to understand the alkaliphily of N-4 enzymes. Although the genes have high homology, the pH activity profiles of the two enzymes are quite different; the *B. subtilis* enzyme (BSC) has its optimum pH at 6 to 6.5, whereas the *Bacillus* sp. strain N-4 enzyme (NK1) is active over a broad pH range from 6 to 10.5. The chimeric cellulases showed various chromatographic behaviors, reflecting the origins of their C-terminal regions. The pH activity profiles of the chimeric enzymes in the alkaline range could be classified into either the BSC or NK1 type, depending mainly on the origins of the fifth C-terminal regions.

**Cellulases as laundry detergent additives.** The discovery of alkaline cellulases created a new industrial application of cellulase as a laundry detergent additive. Ito (79a) mixed alkaline cellulases with laundry detergents and studied their effect by washing cotton underwear. The best results were obtained by one of the alkaline cellulases produced by an alkaliphilic *Bacillus* strain. However, the yield of enzyme was not sufficient for industrial purposes. Ito and coworkers (79, 203) then isolated the alkaliphilic *Bacillus* sp. strain KSM-635 from the soil and succeeded in producing an alkaline cellulase as a laundry detergent additive on an industrial scale.

Besides the KSM-635 enzyme, Shikata et al. isolated three strains, alkaliphilic *Bacillus* strains KSM-19, KSM-64, and KSM-520, producing alkaline cellulases for laundry detergents (163). The activities of these enzymes (pH optima; 8.5 to 9.5) were not inhibited at all by metal ions or various components of laundry products, such as surfactants, chelating agents, and proteinases. With a view to increasing industrial production, Sumitomo et al. (173, 174) overexpressed the alkaline cellulase of alkaliphilic *Bacillus* sp. strain KSM-64 by using *B. subtilis* harboring the vector pHSP64 carrying the cellulase gene. By this process, they produced 30 g of alkaline cellulase in 1 liter. After the discovery of the industrial application of alkaline cellulase as a detergent additive, many microbiologists have extensively studied alkaline cellulases (52, 58, 77, 93, 129, 158). Further details are reviewed by Ito (78).

**Alkaline cellulases from other alkaliphiles.** Park et al. (152) and Damude et al. (24) studied a semialkaline cellulase produced by alkaliphilic *Streptomyces* strain KSM-9. Dasilva et al.

(25) reported two alkaliphilic microorganisms, *Bacillus* sp. strain B38-2 and *Streptomyces* sp. strain S36-2. The optimum pH and temperature of the crude enzyme activities ranged from 6.0 to 7.0 at 55°C for the *Streptomyces* strain and 7.0 to 8.0 at 60°C for the *Bacillus* strain. However, the results indicated that the properties of these enzymes were not appropriate for industrial purposes.

### Lipases

Although the initial motivation for studying alkaline lipase was its application to detergents, many alkaline lipases were significantly inhibited in the presence of either alkylbenzene sulfate or dodecyl benzene sulfonate.

Watanabe et al. (197) conducted an extensive screening for alkaline lipase-producing microorganisms from soil and water samples. Two bacterial strains were selected as potent producers of alkaline lipase. These were identified as *Pseudomonas nitroreducens* nov. subsp. *thermotolerans* and *P. fragi*. The optimum pH of the two lipases was 9.5. Both enzymes were inhibited by bile salts such as sodium cholate, sodium deoxycholate, and sodium taurocholate at 0.25%. However, further work has not been reported.

A thermophilic lipase-producing bacterium was isolated from a hot-spring area of Yellowstone National Park (196). The organism, characterized as a *Bacillus* sp., grew optimally at 60 to 65°C and in the pH range from 6 to 9. The partially purified lipase preparation had an optimum temperature of 60°C and an optimum pH of 9.5. It retained 100% of the original activity after being heated at 75°C for 30 min. It was active on triglycerides containing fatty acids having a carbon chain length of C<sub>16:0</sub> to C<sub>22:0</sub>, as well as on natural fats and oils.

Bushan et al. (12) found a lipase produced from an alkaliphilic *Candida* species in a solid-state fermentation. The lipase from this microorganism had temperature and pH optima of 40°C and 8.5, respectively, and was stable at 45°C for 4 h. The enzyme activity was stimulated by Ni<sup>2+</sup> and Ca<sup>2+</sup> ions but inhibited by Fe<sup>2+</sup> and Fe<sup>3+</sup> ions.

### Xylanases

The first paper describing the isolation of a xylanase from alkaliphilic bacteria was published in 1973 by Horikoshi and Atsukawa (70). The purified enzyme of *Bacillus* sp. strain C-59-2 exhibited a broad optimal pH ranging from 6.0 to 8.0. In the culture broth of *Bacillus halodurans* C-125, two xylanases were found (64). Xylanase A had a molecular weight of 43,000, and xylanase N had a molecular weight of 16,000. Xylanase N was most active at pH 6 to 7, and xylanase A was most active pH 6 to 10 and had some activity at pH 12. The xylanase A gene was cloned, sequenced, and expressed in *E. coli* (59–63). Four thermophilic alkaliphilic *Bacillus* strains (W1 [JCM2888], W2 [JCM2889], W3, and W4) produced xylanases (149, 150). The pH optima for enzyme action of strains W1 and W3 was 6.0, and that for strains W2 and W4 was between 6 and 7. The enzymes were stable between pH 4.5 and 10.5 at 45°C for 1 h. The optimum temperatures of xylanases of W1 and W3 were 65°C, and those of W2 and W4 were 70°C. The degree of hydrolysis of xylan was about 70% after 24 h of incubation.

Since the demonstration that alkali-treated wood pulp could be biologically bleached by xylanases instead of by the usual environmentally damaging chemical process involving chlorine, the search for thermostable alkaline xylanases has been extensive. Dey et al. isolated an alkaliphilic thermophilic *Bacillus* strain (NCIM 59) that produced two types of cellulase-free xylanase at pH 10 and 50°C (27). Khasin et al. reported

that alkaliphilic *B. stearothermophilus* T-6 produced an extracellular xylanase that optimally bleached pulp at pH 9 and 65°C (90). Nakamura et al. also reported that an alkaliphilic *Bacillus* strain, 41M-1, isolated from soil, produced multiple xylanases extracellularly (140, 142, 143). One of the enzymes, xylanase J, was most active at pH 9.0. The optimum temperature for the activity at pH 9.0 was around 50°C. Then, an alkaliphilic and thermophilic *Bacillus* strain, TAR-1, was isolated from soil (141). The xylanase was most active over a pH range of 5.0 to 9.5 at 50°C. Optimum temperatures of the crude xylanase preparation were 75°C at pH 7.0 and 70°C at pH 9.0. These xylanases did not act on cellulose, indicating a possible application of the enzyme in biological debleaching processes.

Blanco et al. reported that an enzyme from *Bacillus* sp. strain BP-23 facilitated the chemical bleaching of pulp, generating savings of 38% in terms of chlorine dioxide consumption (14). Recently, Garg et al. reported a biobleaching effect of *Streptomyces thermoviolaceus* xylanase on birchwood kraft pulp. *S. thermoviolaceus* xylanase had the advantage of activity and stability at 65°C (43, 44).

Subsequently, many thermostable alkaline xylanases have been produced from various alkaliphiles isolated from geothermal areas (28, 122, 175).

### Pectinases

The first paper on alkaline endopolygalacturonase produced by alkaliphilic *Bacillus* sp. strain P-4-N was published in 1972 (68). The optimum pH for enzyme action was 10.0 for pectic acid. Fogarty and coworkers (33, 85) then reported that *Bacillus* sp. strain RK9 produced endopolygalacturonate lyase. The optimum pH for the enzyme activity toward acid-soluble pectic acid was 10.0. Subsequently, several papers on potential applications of alkaline pectinase have been published. The first application of alkaline pectinase-producing bacteria in the retting of Mitsumata bast was reported by Yoshihara and Kobayashi (202). The pectic lyase (pH optimum 9.5) produced by the alkaliphilic *Bacillus* sp. strain GIR 277 has been used in improving the production of a type of Japanese paper. A new retting process produced a high-quality, nonwoody paper that was stronger than the paper produced by the conventional method. Tanabe et al. tried to develop a new waste treatment by using the alkaliphilic *Bacillus* sp. strain GIR 621-7 (184, 185). Cao et al. isolated four alkaliphilic bacteria, NT-2, NT-6, NT-33, and NT-82, producing pectinase and xylanase (20). One strain, NT-33, had an excellent capacity for degumming ramie fibers.

### Chitinases

Tsujibo et al. isolated chitinases from the alkaliphilic *Nocardioopsis albus* subsp. *prasina* OPC-131 (195). The isolate produced two types of chitinases. The optimum pH of chitinase A was pH 5.0, and that of chitinase B was pH 7.0. Recently, Bhushan and Hoondal isolated the alkaliphilic, chitinase-producing *Bacillus* sp. strain BG-11 (13). The purified chitinase exhibited broad pH and temperature optima of 7.5 to 9.0 and 45 to 55°C, respectively. The chitinase was stable between pH 6.0 and 9.0 at 50°C for more than 2 h. Ag<sup>+</sup>, Hg<sup>2+</sup>, dithiothreitol, β-mercaptoethanol, glutathione, iodoacetic acid, and iodoacetamide inhibited the activity up to 50%. No further studies have been reported.

## METABOLITES PRODUCED BY ALKALIPHILES

### 2-Phenylamine

Hamasaki et al. found that a large amount of 2-phenylethylamine was synthesized by cells of the alkaliphilic *Bacillus* sp. strain YN-2000. This amine was secreted in the medium during cell growth (54).

### Carotenoids of Alkaliphilic *Bacillus* Strains

Aono and Horikoshi reported that alkaliphilic *Bacillus* sp. strains A-40-2, 2B-2, 8-1, and 57-1 produce yellow pigments in the cells (3) and that these are triterpenoid carotenoids. However, A-59, M-29, and Y-25, white strains when grown in Horikoshi-II medium, did not produce carotenoids. The physiological role of the yellow pigments was not reported.

### Siderophores

Gascoyne et al. isolated a siderophore-producing alkaliphilic bacterium that accumulated iron, gallium, and aluminum (45, 46). Enrichment cultures initiated with samples from a number of alkaline environmental sources yielded 10 isolates. From this group, selections were made on the basis of growth at high pH and the gallium-binding capacity of the siderophores. It was found that some isolates grew well and high concentrations of siderophore were detected whereas others grew well in the presence of much lower concentrations of siderophore. The effect of iron, gallium, and aluminum on growth and siderophore production in batch culture was investigated for six isolates. The presence of iron greatly decreased the siderophore concentration in these cultures, whereas the response to added gallium or aluminum was dependent upon the isolate.

### Cholic Acid Derivatives

Kimura et al. isolated an alkaliphilic *Bacillus* strain from soil that grew well in media containing cholic acid (CA) at 5% (wt/vol) or higher concentrations (98). The 7α- and 12α-hydroxyl groups of CA were efficiently converted to keto groups, with the conversion rate for both hydroxyl groups reaching 100% by 72 h of cultivation. The strain also converted a 3α-hydroxyl group to a keto group, but the conversion rate was about 5% at 72 h. The strain neither affected any other part of the CA molecule nor oxidized 7β- or 12β-hydroxyl groups. By NTG mutagenesis, they isolated five mutants that selectively produced the following compounds from CA at high yield (close to 100%): strains M-4 and M-5 produced 7,12-diketolithocholic acid, strain 250 produced 7-ketodeoxycholic acid, and strains 124 and 336 yielded ketochenodeoxycholic acid. Furthermore, strains M-4, M-5, and 250 produced only 7-ketolithocholic acid from chenodeoxycholic acid.

### Organic Acids

During the cultivation of alkaliphiles, the pH values of the culture media often decrease sharply due to the production of organic acids, which are produced by growth on carbohydrates. Paavilainen et al. reported comparative studies of organic acids produced by alkaliphilic bacilli (151). Four bacilli, *Bacillus* sp. strain 38-2 (ATCC 21783), *B. alkalophilus* subsp. *halodurans* (ATCC 27557), *B. alcalophilus* (ATCC 27648), and *Bacillus* sp. strain 17-1 (ATCC 31007), were cultured in the presence of various 1% (wt/vol) sugars and related compounds such as sugar alcohols. All these alkaliphiles produced acetic acid (4.5

to 5 g/liter at the maximum), while formic acid was produced by only one of the strains. In contrast to neutrophilic bacilli, acetoin, butanediol, and ethanol were not detected. Moderate amounts of isobutyric, isovaleric,  $\alpha$ -oxoisovaleric,  $\alpha$ -oxo- $\beta$ -methylvaleric,  $\alpha$ -oxoisocaproic, and phenylacetic acids were generated by three of the alkaliphiles.

### Antibiotics and Enzyme Inhibitors

Since alkaliphiles were rediscovered, many Japanese pharmaceutical companies have tried using alkaline media to isolate new microorganisms producing new antibiotics. Although several have been found and reported, none are as yet commercially available.

The first report was published in 1980 by Sato et al. (160), where they recorded the isolation of *Paecilomyces lilacinus* 1907 from soil by using an alkaline medium (pH 10.5). New antibiotics were produced only under alkaline conditions (pH 9 to 10.5). *P. lilacinus* 1907 produced a major product, 1907-II, and a minor product, 1907-VIII, which had antibacterial and antifungal activities.

Subsequently, an alkaliphilic *Nocardioopsis dassonvillei* strain, OPC-15, that produced phenazine antibiotics under alkaline culture conditions was isolated (194). Strain OPC-15 grew well at pH 10 and accumulated two phenazine antibiotics in the mycelia, 1,6-dihydroxyphenazine (I) and 1,6-dihydroxyphenazine 3,5-dioxide (III), at different growth temperatures. Antibiotic I was formed when the organism was cultured at 27°C for 6 to 8 days, whereas the antibiotic III was formed only when the organism was grown at 27°C for 6 days and incubated further at 4°C for 2 days.

Bahn et al. isolated a novel aldose reductase inhibitor, YUA001, from the alkaliphilic *Corynebacterium* sp. strain YUA25-1. Compound YUA001 was purified from the supernatant of culture broth by successive silica gel column chromatography steps. The molecular formula of YUA001 was determined to be C<sub>13</sub>H<sub>19</sub>NO<sub>2</sub>. The compound had no antimicrobial activity against some gram-positive and gram-negative bacteria, fungi, and yeasts (10).

Besides these antibiotics, many compounds have been found and screened by pharmaceutical companies. However, there is one weak point in the direct production of antibiotics by alkaliphilic microorganisms. Many antibiotics are very unstable under alkaline condition, and it is highly possible that antibiotics produced are being destroyed during cultivation. However, some alkaliphiles, especially actinomycetes, can grow in neutral media under specified conditions, offering a strategy for the recovery of bioactive compounds.

### FUTURE OF ALKALIPHILES

Since the rediscovery of alkaliphilic bacteria, more than 1,000 papers on many aspects of alkaliphiles and alkaliphily have been published. The alkaliphiles are unique microorganisms, with great potential for microbiology and biotechnological exploitation. The aspects that have received the most attention in recent years include (i) extracellular enzymes and their genetic analysis, (ii) mechanisms of membrane transport and pH regulation, and (iii) the taxonomy of alkaliphilic microorganisms. What will be the next line of development? It is unclear, but it may be the wider application of enzymes. Alkaline enzymes should find additional uses in various fields of industry, such as chiral-molecule synthesis, biological wood pulping, and more production of sophisticated enzyme detergents. Furthermore, alkaliphiles may be very good general genetic resources for such applications as production of signal

peptides for secretion and promoters for hyperproduction of enzymes.

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